## Siva Yam

Chairman, US-Vietnam Chamber of Commerce

President, US-China Chamber of Commerce

Mr. Siva Yam, CPA, CFA, an investment banker with over 20 years of experience in mergers, acquisitions, public offerings and private placements of securities is Managing Director of Siva Yam & Associates, LLC, a private consulting and investment banking boutique, which specializes in cross border business and trade activities between the U.S. and Asia, and in particular, The Greater China Region. He serves as President of the United States-China Chamber of Commerce, a non-profit organization founded by the late Prescott S. Bush, Jr., which is dedicated to promoting trade and investment activities between the U.S. and China. He recently co-founded the U.S.-Vietnam Chamber to foster relationships between the U.S. and Vietnam.

Mr. Yam has previously served on the Advisory Board of the Federal Reserve Bank in Chicago. He co-founded and served as CFO, Senior Managing Director and Director of Investment Banking of Chicago Capital, Inc., an investment banking boutique. Before that, he worked in the Corporate Finance Department at Stifel, Nicolaus & Company, Incorporated, in various capacities, including as Co-Founder and Managing Director of Stifel International Capital Markets, Inc., where he assisted corporate clients in financing, joint ventures, and trade activities with an emphasis on "Emerging Markets." Mr. Yam authored and co-authored investment research has reports including one recommendation that was featured in "Institutional Investor" as "Home-Run Hitter of 1993 #5 up 296.0%", one of the top five stock picks in that year with a return of 296% in one year.

Mr. Yam is internationally recognized as an expert in U.S.-China business. China Daily featured him on the front page of Business Weekly in August 2002 describing him as "Bridge U.S.-China Business: USCCC tries to strengthen nations' trade, cultural relations". In March 2005, Investor's Business Daily stated "... American companies seeking advice on operating there often seek out Chicago investment banker Siva Yam, president of the U.S.-China Chamber of Commerce ..." In January 2006, Mr. Yam appeared on the cover of World Trade magazine with the cover story "Trading with China: A Guide for Newcomers - Siva Yam, U.S.-China Chamber of Commerce." Again in March 2009, China Daily described "Siva Yam knows business - especially when it comes to U.S. and Chinese relationships." An interview, "利、力、理是一种不能颠倒的顺序 - Relationship is Defined by Profit First, Power Second, and Reasonableness Third" by Dr. Tian Bing Xin, Chairman and C.E.O. of Singpao Media has become part of a required university textbook, "In-Depth Interviews by Dr. Tian Bing Xin," in China.

Mr. Yam has been recognized for his work by many communities. In 2013, Ambassador Diane E. Watson, hosted a reception at her residence in Los Angeles to celebrate Mr.

Yam's work in serving the community, especially African and Latino Americans and those with disabilities. In November 2014, The City Council of Chicago adopted a resolution in his honor recognizing his tireless work for encouraging entrepreneurship and economic growth, especially among small and medium sized companies.

A Certified Public Accountant and a Chartered Financial Analyst, Mr. Yam speaks frequently at various conferences, seminars, and television interviews in both the U.S. and Asia, and some of his interviews have appeared in many different languages. Mr. Yam received his MBA from Duke University on a Fuqua Fellowship and his Bachelor of Business Administration, Magna Cum Laude, from the University of Wisconsin. Previously, he also graduated from the Hong Kong Polytechnic with honors and is fluent in both English and Chinese.